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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/764,366	01/18/2001	2163	582	10366-014	8	38	2

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PENNIE AND EDMONDS
1155 AVENUE OF THE AMERICAS
NEW YORK, NY 100362711

CONFIRMATION NO. 7123

UPDATED FILING RECEIPT



"OC000000006317722"

Date Mailed: 07/19/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ulrich A. Muller, Zurich, SWITZERLAND;
Richard B. Olsen, Zurich, SWITZERLAND;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/200,742 05/01/2000 ✓
WHICH CLAIMS BENEFIT OF 60/200,743 05/01/2000 ✓
WHICH CLAIMS BENEFIT OF 60/200,744 05/01/2000 ✓

Foreign Applications

If Required, Foreign Filing License Granted 03/06/2001

Projected Publication Date: 11/01/2001

Non-Publication Request: No

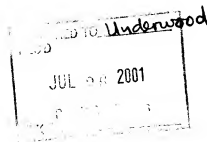
Early Publication Request: No

** SMALL ENTITY ** ✓

Title

Method for market making ✓

Preliminary Class



705

Data entry by : MOHAMMED, ABDULBASIT**Team :** OIPE**Date:** 07/19/2001

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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PLEASE NOTE the following information about the Filing Receipt:

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- The docket number allows a maximum of 25 characters.
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Müller et al.

Application No.: 09/764,366

Group Art Unit: 2163

Filed: January 18, 2001

Examiner: Not yet assigned

For: METHOD FOR MARKET MAKING

Attorney Docket No.: 10366-014

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
BOX MISSING-PARTS
Washington, D.C. 20231

Sir:

1 Month Extension. Pursuant to 37 C.F.R. 1.136(a), Applicants hereby petition for a 1-month extension of time to respond to the Notice To File Missing Parts Of Nonprovisional Application mailed on March 7, 2001. This extension will extend the response due date to June 7, 2001. The estimated fee for this extension is \$55 (small entity).

In response to the Notice To File Missing Parts Of Nonprovisional Application mailed on March 7, 2001, a copy of which is enclosed, applicants submit herewith, pursuant to 37 CFR 1.63 and MPEP § 201.06(c), a Declaration for Non-Provisional Patent Application executed by the inventors, along with a Power of Attorney executed by an authorized officer of the assignee, a Verified Statement Claiming Small Entity Status, and eight sheets of substitute drawings. An Assignment from the inventors to the assignee is simultaneously being submitted under separate cover to Box Assignment.

The fee for the submission of this response is estimated to be \$65 (small entity). Combining this fee with the above extension fee, the estimated **total required fee is \$120**. Please charge all required fees to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

Date June 6, 2001

Steven P. Underwood (Reg. No. 47,205)

For

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24,615
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Enclosures